

August 6, 2022

Ref. No.: **AIL/SE/34/2022-23**

To,

BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai-400001, MH.
Scrip Code: **543534**

National Stock Exchange of India Limited
Exchange Plaza,
Bandra Kurla Complex, Bandra (E),
Mumbai-400051, MH.
Symbol: **AETHER**

Compliance Certificate for the Quarter ended June 30, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Chitrarth Rajan Parghi, Compliance Officer of the company, have examined the following compliance requirement of Aether Industries Limited and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations):

Sr. No.	Compliance Requirement	Yes / No	Observation / Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	The Company has Structured Digital Database in place.
2.	Whether control exists as to who can access the SDD for read / write along with the names and PAN of such person?	Yes	Control exists for accessing the SDD for read / write to the Company Secretary & Compliance Officer of the Company only.
3.	Whether all the UPSI have been captured in the Database. If not details of events that have not been captured and the reason for the same?	Yes	All the information, being identified and to be considered as UPSI have been captured therein.

4.	Whether the recipient were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	Yes	Recipients were duly conveyed and informed about the information to be received by them, being the UPSI. The same was lodged into the Database prior to sharing.
5.	Whether nature of UPSI have been captured along with date and time?	Yes	Prior to sending the UPSI to the recipient, the nature of the UPSI was captured along with time and date.
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	The details of the Sender and Recipient of the UPSI, both are captured along with their PAN.
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	The details of the Sender and Recipient of the UPSI, both are captured along with their PAN.
8.	Whether the database has been maintained internally?	Yes	The Database has been maintained internally.
9.	Whether audit trail is maintained?	Yes	The accurate exchange details of the UPSI shared so far is available on the internal Database. That can be presented in any manner as required for audit or any other purpose.
10.	Whether time stamping is maintained?	Yes	As and when the UPSI was shared, the same was duly stamped.
11.	Whether the database is non-tamperable?	Yes	The Database is password protected and the data therein neither be tempered nor be altered.
12.	Any other measures to ensure non-tamperability of the Database?	Yes	- Limited access - Password protection

Aether Industries Limited

Registered Office: Plot No. 8203, GIDC Sachin, Surat-394230, Gujarat, India.

Phone: +91-261-6603000 || **Email:** accounts@aether.co.in || **Web:** www.aether.co.in || **CIN:** L24100GJ2013PLC073434

Factory: Plot No. 8203, Beside Shakti Distillery, Near Rajkamal Chokdi, Road No. 8, Sachin GIDC, Sachin, Surat-394230, Gujarat, India.

The number of days for which non-compliance was observed: None

The Company was listed on BSE Limited and National Stock Exchange of India Limited on June 3, 2022 hence, the applicable Quarter under consideration to be only from June 3, 2022 to June 30, 2022.

Further I also confirm that the Company was required to capture 2 (two) number of events during the quarter / half year ended and has captured 2 (two) number of the said required events.

Thank you.

For Aether Industries Limited



Chitrarth Rajan Parghi
Company Secretary & Compliance Officer

